# Standards Annexation to a Borough

Local Boundary Commission Handbook		

# **Table of Contents**

4
4
4
4
4
4
4
4
5
5
5
5
5
5
5
5
6
6
8
8
8
10
11
12
14
15
16
16
58

# The Constitution of the State of Alaska Article 10 - Local Government

# § 1. Purpose and Construction

The purpose of this article is to provide for maximum local self-government with a minimum of local government units, and to prevent duplication of tax-levying jurisdictions. A liberal construction shall be given to the powers of local government units.

# § 2. Local Government Powers

All local government powers shall be vested in boroughs and cities. The State may delegate taxing powers to organized boroughs and cities only.

# § 3. Boroughs

The entire State shall be divided into boroughs, organized or unorganized. They shall be established in a manner and according to standards provided by law. The standards shall include population, geography, economy, transportation, and other factors. Each borough shall embrace an area and population with common interests to the maximum degree possible. The legislature shall classify boroughs and prescribe their powers and functions. Methods by which boroughs may be organized, incorporated, merged, consolidated, reclassified, or dissolved shall be prescribed by law.

# § 4. Assembly

The governing body of the organized borough shall be the assembly, and its composition shall be established by law or charter. [Amended 1972]

#### § 5. Service Areas

Service areas to provide special services within an organized borough may be established, altered, or abolished by the assembly, subject to the provisions of law or charter. A new service area shall not be established if, consistent with the purposes of this article, the new service can be provided by an existing service area, by incorporation as a city, or by annexation to a city. The assembly may authorize the levying of taxes, charges, or assessments within a service area to finance the special services.

#### § 6. Unorganized Boroughs

The legislature shall provide for the performance of services it deems necessary or advisable in unorganized boroughs, allowing for maximum local participation and responsibility. It may exercise any power or function in an unorganized borough which the assembly may exercise in an organized borough.

#### § 7. Cities

Cities shall be incorporated in a manner prescribed by law, and shall be a part of the borough in which they are located. Cities shall have the powers and functions conferred by law or charter. They may be merged, consolidated, classified, reclassified, or dissolved in the manner provided by law.

#### § 8. Council

The governing body of a city shall be the council.

#### § 9. Charters

The qualified voters of any borough of the first class or city of the first class may adopt, amend, or repeal a home rule charter in a manner provided by law. In the absence of such legislation, the governing body of a borough or city of the first class shall provide the procedure for the preparation and adoption or rejection of the charter. All charters, or parts or amendments of charters, shall be submitted to the qualified voters of the borough or city, and shall become effective if approved by a majority of those who vote on the specific question.

# § 10. Extended Home Rule

The legislature may extend home rule to other boroughs and cities.

# § 11. Home Rule Powers

A home rule borough or city may exercise all legislative powers not prohibited by law or by charter.

# § 12. Boundaries

A local boundary commission or board shall be established by law in the executive branch of the state government. The commission or board may consider any proposed local government boundary change. It may present proposed changes to the legislature during the first ten days of any regular session. The change shall become effective forty-five days after presentation or at the end of the session, whichever is earlier, unless disapproved by a resolution concurred in by a majority of the members of each house. The commission or board, subject to law, may establish procedures whereby boundaries may be adjusted by local action.

# § 13. Agreements; Transfer of Powers

Agreements, including those for cooperative or joint administration of any functions or powers, may be made by any local government with any other local government, with the State, or with the United States, unless otherwise provided by law or charter. A city may transfer to the borough in which it is located any of its powers or functions unless prohibited by law or charter, and may in like manner revoke the transfer.

# § 14. Local Government Agency

An agency shall be established by law in the executive branch of the state government to advise and assist local governments. It shall review their activities, collect and publish local government information, and perform other duties prescribed by law.

# § 15. Special Service Districts

Special service districts existing at the time a borough is organized shall be integrated with the government of the borough as provided by law.

# Alaska State Statutes AS 29.06.040 - AS 29.06.060

# Sec. 29.06.040. Municipal boundary changes.

- (a) The Local Boundary Commission may consider any proposed municipal boundary change. The commission may amend the proposed change and may impose conditions on the proposed change. If the commission determines that the proposed change, as amended or conditioned if appropriate, meets applicable standards under the state constitution and commission regulations and is in the best interests of the state, it may accept the proposed change. Otherwise, it shall reject the proposed change. A Local Boundary Commission decision under this subsection may be appealed under AS 44.62 (Administrative Procedure Act).
- (b) The Local Boundary Commission may present a proposed municipal boundary change to the legislature during the first 10 days of a regular session. The change becomes effective 45 days after presentation or at the end of the session, whichever is earlier, unless disapproved by a resolution concurred in by a majority of the members of each house.
- (c) In addition to the regulations governing annexation by local action adopted under AS 44.33.812, the Local Boundary Commission shall establish procedures for annexation and detachment of territory by municipalities by local action. The procedures established under this subsection must include a provision that
- (1) a proposed annexation must be approved by a majority of votes on the question cast by voters residing in the annexing municipality;
- (2) a proposed annexation or detachment must be approved by a majority of votes on the question cast by voters residing in the area proposed to be annexed or detached;
- (3) municipally owned property adjoining the municipality may be annexed by ordinance without voter approval; and
- (4) an area adjoining the municipality may be annexed by ordinance without an election if all property owners and voters in the area petition the governing body.
- (d) A boundary change effected under (a) and (b) of this section prevails over a boundary change initiated by local action, without regard to priority in time.
- Sec. 29.06.050. Annexation of military reservations.

A military reservation may be annexed to a municipality in the same manner as prescribed for other territory under <u>AS 29.06.040</u>. If a city in a borough annexes a military reservation under this section, the area encompassing the military reservation automatically is annexed to the borough in which the city is located.

Sec. 29.06.055. Property taxes in annexed or detached areas.

- (a) Unless the annexation takes effect on January 1, the annexing municipality may not levy property taxes in an annexed area before January 1 of the year immediately following the year in which the annexation takes effect. However, notwithstanding other provisions of law, the municipality may provide services in the annexed area that are funded wholly or partially with property taxes during the period before the municipality may levy property taxes in the annexed area.
- (b) If an area is detached from a municipality, all property taxes that are levied by that municipality on property in the detached area based on an assessment that occurred before the effective date of the detachment remain valid. <u>AS 29.45.290</u> 29.45.500 apply to the enforcement of those taxes.

Sec. 29.06.060. Application.

AS 29.06.040 - 29.06.060 apply to home rule and general law municipalities.

# **Local Boundary Commission**

# **Chapter 110. Municipal Boundary Changes.**

(3 AAC 110.010 - 3 AAC 110.990)

# Chapter 110 Municipal Boundary Changes

# Article 4 Standards for Annexation to Boroughs

#### **Section**

- 160. Relationship of interests.
- 170. Population.
- 180. Resources.
- 190. Boundaries.
- 195. Best interests of state.
- 200. Legislative review.
- 210. Local action.

#### 3 AAC 110.160. Relationship of interests

# Statute text

- (a) On a regional scale suitable for borough government, the social, cultural, and economic characteristics and activities of the people in the area proposed for annexation must be interrelated and integrated with the characteristics and activities of the people in the existing borough. In this regard, the commission may consider relevant factors, including the
- (1) compatibility of urban and rural areas within the proposed expanded boundaries of the borough;
- (2) compatibility of economic lifestyles and industrial or commercial activities within the proposed expanded boundaries of the borough;

- (3) existence of customary and simple transportation and communication patterns throughout the proposed expanded boundaries of the borough; and
- (4) extent and accommodation of spoken language differences throughout the proposed expanded boundaries of the borough.
- (b) The communications media and the land, water, and air transportation facilities throughout the proposed expanded boundaries of the borough must allow for the level of communications and exchange necessary to develop an integrated borough government. In this regard, the commission may consider relevant factors, including
- (1) transportation schedules and costs;
- (2) geographical and climatic impediments;
- (3) telephonic and teleconferencing facilities; and
- (4) electronic media for use by the public.
- (c) In determining whether communications and exchange patterns are sufficient, the commission may consider whether
- (1) all communities within the proposed borough after annexation are connected to the borough seat by a public roadway, regular scheduled airline flights on at least a weekly basis, regular ferry service on at least a weekly basis, a charter flight service based in the proposed borough after annexation, other customary means of travel including boats and snow machines, or sufficient electronic media communications; and
- (2) the communications and exchange patterns would adequately facilitate interrelationships and integration of the people in an expanded borough.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Annotations** 

Authority: Art. X, sec. 1,

Ak Const.

Art. X, sec. 3,

Ak Const.

Art. X. sec. 12,

Ak Const.

AS 29.06.040

AS 44.33.812

# 3 AAC 110.170. Population

#### Statute text

The population of the proposed borough after annexation must be sufficiently large and stable to support the resulting borough. In this regard, the commission may consider relevant factors, including

- (1) census enumerations;
- (2) durations of residency;
- (3) historical population patterns;
- (4) seasonal population changes;
- (5) age distributions;
- (6) contemporary and historical public school enrollment data; and
- (7) nonconfidential data from the Department of Revenue regarding applications under AS 43.23 for permanent fund dividends.

History

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

# **Annotations**

Authority: Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 12, Ak Const. AS 29.06.040 AS 44.33.812

#### 3 AAC 110.180. Resources

#### Statute text

The economy within the proposed expanded boundaries of the borough must include the human and financial resources necessary to provide the development of essential municipal services. In this regard, the commission may consider relevant factors, including the

- (1) reasonably anticipated functions of the borough in the area proposed for annexation;
- (2) reasonably anticipated new expenses of the borough that would result from annexation;
- (3) actual income and the reasonably anticipated ability of the borough to generate and collect local revenue and income from the new area;
- (4) feasibility and plausibility of those aspects of the borough's anticipated operating and capital budgets that would be affected by annexation through the period extending one full fiscal year beyond the reasonably anticipated date for completion of the transition set out in 3 AAC 110.900;
- (5) economic base of the area within the borough after annexation;
- (6) valuations of taxable property in the area proposed for annexation;
- (7) land use in the area proposed for annexation;

- (8) existing and reasonably anticipated industrial, commercial, and resource development in the area proposed for annexation;
- (9) personal income of residents in the area to be annexed and in the borough; and
- (10) the need for and availability of employable skilled and unskilled persons to serve the borough government as a result of annexation.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Annotations** 

Authority: Art. X, sec. 1,

Ak Const.

Art. X, sec. 3,

Ak Const.

Art. X, sec. 12,

Ak Const.

AS 29.06.040

AS 44.33.812

# 3 AAC 110.190. Boundaries

#### Statute text

- (a) The proposed expanded boundaries of the borough must conform generally to natural geography and must include all land and water necessary to provide the development of essential municipal services. In this regard, the commission may consider relevant factors, including
- (1) land use and ownership patterns;
- (2) ethnicity and cultures;
- (3) repealed 1/9/2008;
- (4) existing and reasonably anticipated transportation patterns and facilities;

- (5) natural geographical features and environmental factors; and
- (6) extraterritorial powers of boroughs.
- (b) Absent a specific and persuasive showing to the contrary, the commission will presume that an area that is not contiguous to the annexing borough, or that would create enclaves in the annexing borough, does not include all land and water necessary to allow for the development of essential municipal services.
- (c) When considering the annexation of area to a borough, the commission may review
- (1) the model borough boundaries for the area for which annexation is proposed;
- (2) regional boundaries, including
- (A) boundaries of one or more regional educational attendance areas existing in the area proposed for annexation;
- (B) federal census area boundaries;
- (C) boundaries for regional Native corporations under 43 U.S.C. 1601 1629h (Alaska Native Claims Settlement Act); and
- (D) boundaries of national forests;
- (3) whether the borough will, following the proposed annexation, embrace a maximum area and population with common interests;
- (4) whether the annexation promotes maximum local self-government, as determined under 3 AAC 110.981;

- (5) whether the annexation promotes a minimum number of local government units, as determined under 3 AAC 110.982 and in accordance with art. X, sec. 1, Constitution of the State of Alaska; and
- (6) whether the proposed borough boundaries are the optimum boundaries for that region in accordance with art. X, sec. 3, Constitution of the State of Alaska.
- (d) Repealed 1/9/2008;
- (e) If a petition for annexation to a borough describes boundaries overlapping the boundaries of an existing organized borough, the petition for annexation must also address and comply with the standards and procedures for detachment of the overlapping region from the existing organized borough.
- (f) A petition for annexation to a borough may not include only a portion of the territory of an existing city government.
- (g) Requirements relating to limitation of community, as set out in 3 AAC 110.130(c), do not apply to boroughs.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Annotations** 

Authority: Art. X, sec. 1,

Ak Const.

Art. X, sec. 3,

Ak Const.

Art. X, sec. 12,

Ak Const.

AS 29.06.040

AS 44.33.812

#### 3 AAC 110.195. Best interests of state

#### Statute text

In determining whether annexation to a borough is in the best interests of the state under AS 29.06.040(a), the commission may consider relevant factors, including whether annexation

- (1) promotes maximum local self-government, as determined under 3 AAC 110.981;
- (2) promotes a minimum number of local government units, as determined under 3 AAC 110.982 and in accordance with art. X, sec. 1, Constitution of the State of Alaska; and
- (3) will relieve the state government of the responsibility of providing local services.

History: Eff. 5/19/2002, Register 162; am 1/9/2008, Register 185

**Annotations** 

Authority: Art. X, sec. 1,

Ak Const.

Art. X, sec. 3,

Ak Const.

Art. X, sec. 12,

Ak Const.

AS 29.06.040

AS 44.33.812

# 3 AAC 110.210. Local action

#### Statute text

Area that meets the annexation standards specified in 3 AAC 110.160 - 3 AAC 110.195 and has been approved for local action annexation by the commission may be annexed to a borough by any one of the following actions:

- (1) borough ordinance if the area is wholly owned by the annexing borough;
- (2) borough ordinance and a petition signed by all the voters and property owners of the area;
- (3) approval by a majority of votes on the question cast by voters residing in
- (A) the area; and

- (B) the annexing borough;
- (4) repealed 1/9/2008;
- (5) repealed 1/9/2008.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Annotations** 

Authority: Art. X, sec. 1,

Ak Const.

Art. X, sec. 3,

Ak Const.

Art. X, sec. 12,

Ak Const.

AS 29.06.040

AS 44.33.812

-<u>----</u>

# 3 AAC 110.400 - 110.700 Petitioning Procedures and Other Commission Matters

# Section

- 400. Applicability.
- 410. Petitioners.
- 415. Additional requirements of a voter-initiated petition.
- 420. Petition.
- 421. Name of municipal government.
- 425. Legislative review annexation petitions.
- 430. Consolidation of petitions.
- 435. Role of department staff.
- 440. Technical review of petition.

- 450. Notice of petition.
- 460. Service of petition.
- 470. Proof of notice and service.
- 475. Summary determination.
- 480. Responsive briefs and written comments.
- 490. Reply brief.
- 500. Limitations on advocacy.
- 510. Informational sessions.
- 520. Departmental public meetings.
- 530. Departmental reports.
- 540. Amendment of petition.
- 545. Withdrawal of petition.
- 550. Commission public hearing.
- 560. Commission hearing procedures.
- 570. Decisional meeting.
- 580. Reconsideration.
- 590. Modified procedures for certain local action annexations.
- 600. Local action/local option elections.
- 610. Legislative review.
- 620. Judicial review.
- 630. Effective date and certification.
- 640. Scheduling.
- 650. Resubmittals and reversals.
- 660. Purpose of procedural regulations; relaxation or suspension of procedural regulations.
- 680. Meetings.
- 690. Teleconference policy and procedures.

#### 700. Filing with the commission.

# 3 AAC 110.400. Applicability

Except as provided in 3 AAC <u>110.590</u>, 3 AAC <u>110.410</u> - 3 AAC <u>110.700</u> apply to petitions for city reclassification under <u>AS 29.04</u>, for incorporation under <u>AS 29.05</u> or art. X, sec 12, Constitution of the State of Alaska, and for alterations to municipalities under <u>AS 29.06</u> or art. X, sec 12, Constitution of the State of Alaska. However, only those sections of 3 AAC <u>110.410</u> - 3 AAC <u>110.700</u> with which compliance is required under 3 AAC <u>110.590</u> apply to an annexation petition filed under a local action method provided for in <u>AS 29.06.040</u> (c)(3) or (4).

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. <u>AS 29.04.040 AS 29.05.060 AS 29.06.040 AS 29.06.090 AS 29.06.450 AS 29.06.460 AS 44.33.812</u>

#### 3 AAC 110.410. Petitioners

- (a) A petition for a proposed action by the commission under this chapter may be initiated by
- (1) the legislature;
- (2) the commissioner;
- (3) a person designated by the commission, subject to (d) of this section;
- (4) a political subdivision of the state;
- (5) a regional educational attendance area;
- (6) repealed 1/9/2008;
- (7) at least 10 percent of the persons registered to vote in a political subdivision of the state or in a regional educational attendance area, if the petition seeks the alteration of a municipality under <u>AS 29.06</u>, other than by local option under <u>AS 29.06.090</u> (b)(2) or <u>AS 29.06.450</u> (a)(2);
- (8) at least 10 percent of the persons registered to vote in
- (A) the area proposed for borough annexation by election under 3 AAC <u>110.210(3)</u> or by legislative review under <u>AS 29.06.040</u> (b) or <u>AS 44.33.812</u> (b)(2); or

- (B) the territory proposed for city annexation by election under 3 AAC <u>110.150(3)</u> or by legislative review under <u>AS 29.06.040</u> (b) or <u>AS 44.33.812</u> (b)(2);
- (9) at least 25 percent of the persons registered to vote in
- (A) the area proposed for borough detachment by election under  $\frac{AS 29.06.040}{(c)(2)}$  (c)(2) or by legislative review under AS 29.06.040 (b) or AS 44.33.812 (b)(2); or
- (B) the territory proposed for city detachment by election under <u>AS 29.06.040</u> (c)(2) or by legislative review under <u>AS 29.06.040</u> (b) or <u>AS 44.33.812</u> (b)(2); or
- (10) the number of qualified voters required under
- (A) AS 29.04.040, if the petition seeks reclassification of a city;
- (B) AS 29.05.060, if the petition seeks a municipal incorporation under AS 29.05.060;
- (C) AS 29.06.100 (a), if the petition seeks a municipal merger or consolidation under AS 29.06.090 (b)(2); or
- (D) AS 29.06.460 (a), if the petition seeks a municipal dissolution under AS 29.06.450 (a)(2).
- (b) If, to achieve compliance with <u>AS 29.06.100</u> (a), a petition for merger or consolidation must be signed by a percentage of voters from one or more cities within a borough, and also by a percentage of voters in that borough, all voters who sign the petition as borough voters must reside outside any city or cities joining that petition. The number of borough voters required to sign the petition must be based on the number of registered voters or the number of votes cast in the area of the borough outside any city or cities joining the petition.
- (c) The provisions of (a)(10) of this section may not be construed to apply to petition procedures established by the commission under  $\underline{AS\ 44.33.812}$  (a)(2),  $\underline{AS\ 29.06.040}$  (c) for annexation and detachment,  $\underline{AS\ 29.06.090}$  (b)(1) for merger and consolidation, or  $\underline{AS\ 29.06.450}$  (a)(1) for dissolution.
- (d) A person designated by the commission may initiate a petition if the commission
- (1) determines that the action proposed will likely promote the standards established under the Constitution of the State of Alaska, <u>AS 29.04</u>, <u>AS 29.05</u>, <u>AS 29.06</u>, or this chapter, and is in the best interests of the state; and

- (2) directs the designated person to prepare a petition by a motion approved by a majority of the appointed membership of the commission.
- (e) The person initiating a petition under (a) of this section is the petitioner. A petition must include a designation of
- (1) one person as representative of the petitioner; and
- (2) a second person as an alternate representative, who may act if the primary representative is absent, resigns, or fails to perform the representative's duties.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.060 AS 29.06.040 AS 29.06.090 AS 29.06.100 AS 29.06.450 AS 29.06.460 AS 44.33.020 AS 44.33.812</u>

# 3 AAC 110.415. Additional requirements of a voter-initiated petition

- (a) In determining whether a voter-initiated petition under 3 AAC  $\underline{110.410(a)}$  (7) (10) or 3 AAC  $\underline{110.410(b)}$  contains at least the minimum number of required signatures, the department may not consider a signature
- (1) unless the voter who signed the form printed the voter's name, physical address of place of residence, and a numerical identifier, and dated the signature;
- (2) that is dated more than one year before the date that the petition was submitted to the department.
- (b) If a voter-initiated petition is unacceptable under (a)(2) of this section, the department is not required to perform a technical review of the petition under 3 AAC <u>110.440</u>. The department shall return the petition to the petitioner with a letter explaining the reason for the return.
- (c) A person who has signed a petition form may withdraw that person's name only by giving written notice to the petitioner's representative before the date the petition is submitted to the department.
- (d) Information that is confidential under <u>AS 15.07.195</u> is not open to public inspection unless otherwise required by law.

History: Eff. 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.060 AS 29.06.040 AS 29.06.090 AS 29.06.100 AS 29.06.450 AS 29.06.460 AS 44.33.020 AS 44.33.812</u>

# 3 AAC 110.420. Petition

- (a) A proposal for one or more actions by the commission under this chapter is initiated by submitting a petition and supporting materials to the department.
- (b) A petition must be submitted on forms provided by the department. On the forms provided, the department shall require that the petition include the following information and supporting materials:
- (1) the name of the petitioner;
- (2) for the petitioner's representative and alternative representative designated under 3 AAC 110.410(e).
- (A) the physical address of each individual's place of residence;
- (B) each individual's mailing address; and
- (C) each individual's telephone number, facsimile number, and electronic mail address, if any;
- (3) the name and class of the
- (A) existing municipal government for which a change is proposed; and
- (B) proposed municipal government;
- (4) a general description of the nature of the proposed commission action;
- (5) a general description of the
- (A) area proposed for borough boundary change; or
- (B) territory proposed for city boundary change;
- (6) a statement of reasons for the petition;

- (7) legal metes and bounds descriptions, maps, and plats for a proposed municipality, or for any existing municipality for which a change is proposed;
- (8) the size of the
- (A) area proposed for borough boundary change; or
- (B) territory proposed for city boundary change;
- (9) data estimating the population of the
- (A) area proposed for borough boundary change; or
- (B) territory proposed for city boundary change;
- (10) information relating to public notice and service of the petition;
- (11) the following tax data for a borough boundary change:
- (A) the assessed or estimated value of taxable property in the area proposed for change, if the proposed municipal government, or any existing municipal government for which a change is proposed, levies or proposes to levy property taxes;
- (B) projected taxable sales in the area proposed for change, if the proposed municipal government, or any existing municipal government for which the change is proposed, levies or proposes to levy sales taxes;
- (C) each municipal government tax levy currently in effect in the area proposed for change;
- (12) the following tax data for a city boundary change:
- (A) the assessed or estimated value of taxable property in the territory proposed for change, if the proposed municipal government, or any existing municipal government for which a change is proposed, levies or proposes to levy property taxes;
- (B) projected taxable sales in the territory proposed for change, if the proposed municipal government, or any existing municipal government for which the change is proposed, levies or proposes to levy sales taxes;
- (C) each municipal government tax levy currently in effect in the territory proposed for change;

- (13) for a proposed municipality, or for any existing municipality for which a change is proposed, projections of revenue, operating expenditures, and capital expenditures through the period extending one full fiscal year beyond the reasonably anticipated date
- (A) for receipt of any final organization grant under AS 29.05.180 or 29.05.190;
- (B) for completion of any transition set out in  $\underline{AS\ 29.05.130}$  29.05.140 or 3 AAC 110.900; and
- (C) on which a proposed new borough or city will make its first full local contribution required under  $\frac{AS\ 14.17.410}{AS\ 14.17.410}$  (b)(2), if the proposal seeks to incorporate a municipality that would be subject to  $\frac{AS\ 14.17.410}{AS\ 14.17.410}$  (b)(2);
- (14) information about any existing long-term municipal debt;
- (15) information about the powers and functions of
- (A) the proposed municipality;
- (B) any existing municipality for which a change is proposed, before and after the proposed change; and
- (C) alternative service providers, including regional educational attendance areas and other service areas within the area proposed for borough boundary change or territory proposed for city boundary change;
- (16) the transition plan required under 3 AAC 110.900;
- (17) information about the composition and apportionment of the governing body of
- (A) the proposed municipality; and
- (B) any existing municipality for which a change is proposed, before and after the proposed change;
- (18) information regarding any effects of the proposed change upon civil and political rights for purposes of 42 U.S.C. 1971 1974 (Voting Rights Act of 1965);
- (19) a supporting brief that provides a detailed explanation of how the proposal serves the best interests of the state and satisfies each constitutional, statutory, and regulatory standard that is relevant to the proposed commission action;

- (20) documentation demonstrating that the petitioner is authorized to file the petition under 3 AAC 110.410;
- (21) for a petition to incorporate or consolidate a home rule city or borough, the proposed municipal charter;
- (22) an affidavit from the petitioner's representative that, to the best of the representative's knowledge, information, and belief, formed after reasonable inquiry, the information in the petition is true and accurate;
- (23) other information or supporting material that the department believes the petitioner must provide for an adequate review of the proposal.
- (c) The petitioner shall provide the department with a copy of the petition and supporting materials in an electronic format, unless the department waives this requirement because the petitioner lacks a readily accessible means or the capability to provide items in an electronic format.
- (d) For a voter-initiated petition, the petition form must include sufficient space for the printed name, a numerical identifier, the signature, the date of signature, and the address of each person signing the petition.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.060 AS 29.06.040 AS 29.06.090 AS 29.06.100 AS 29.06.450 AS 29.06.460 AS 44.33.020 AS 44.33.812</u>

# 3 AAC 110.421. Name of municipal government

The name of the municipal government specified in accordance with 3 AAC <u>110.420(b)</u> (3)(B) must contain the word "city,""borough," or "municipality," as applicable to the type of municipal government proposed. The name may not contain a word or phrase that indicates or implies that the municipal government is organized for a purpose other than that authorized by its incorporation or alteration.

History: Eff. 1/9/2008, Register 185

**Authority:** <u>AS 44.33.812</u>

# 3 AAC 110.425. Legislative review annexation petitions

(a) Except as provided in (i) of this section, before a petition for annexation by the legislative review process may be submitted to the department under 3 AAC <u>110.420</u>, the prospective petitioner shall prepare a complete draft of the prospective annexation

petition and a summary of the prospective petition. The prospective petitioner shall also conduct a public hearing on the annexation proposal in accordance with (d) - (e) of this section.

- (b) The prospective annexation petition required under (a) of this section must be prepared using forms provided by the department under 3 AAC <u>110.420</u>. The summary required under (a) of this section must include a map of the area proposed for borough annexation or territory proposed for city annexation, a synopsis of the views of the prospective petitioner regarding the application of applicable standards to the proposed annexation, a summary of the reasonably anticipated effects of annexation, and an abstract of the transition plan required under 3 AAC <u>110.900</u>.
- (c) The prospective annexation petition and the summary must be made available to the public on or before the first publication or posting of the notice of the hearing required under (e) of this section. The prospective petitioner shall make one copy of the prospective petition available for public review at a convenient location within or near the boundaries proposed for annexation for every 500 individuals reasonably estimated to reside within those boundaries. However, the prospective petitioner need not provide more than five copies of the prospective petition for public review regardless of the population within the boundaries proposed for annexation. The prospective petitioner shall make the summary of the annexation proposal available for distribution to the public without charge at a convenient location within or near the boundaries proposed for annexation.
- (d) The public hearing required under (a) of this section must address appropriate annexation standards and their application to the annexation proposal, legislative review annexation procedures, the reasonably anticipated effects of the proposed annexation, and the proposed transition plan required under 3 AAC 110.900. The hearing must be held at a convenient location selected by the prospective petitioner within or near the boundaries proposed for annexation. The hearing must allow a period for comment on the proposal from members of the public. If the prospective petitioner is a municipality, the governing body shall conduct the hearing.
- (e) In the manner provided for a hearing of the commission under 3 AAC <u>110.550</u>, a prospective petitioner shall give public notice and a public service announcement of the public hearing required under (a) of this section.
- (f) The department shall specify the text of the public notice required under (e) of this section, to ensure that the notice contains the following information:
- (1) the title of the notice of the hearing;
- (2) the name of the prospective petitioner;

- (3) a brief description of the nature of the prospective legislative review annexation proposal, including the size and general location of the boundaries under consideration;
- (4) information about where and when the prospective petition is available for public review;
- (5) information about where the public may receive, without charge, a summary of the prospective petition;
- (6) a statement concerning who will conduct the hearing;
- (7) a statement of the scope of the hearing;
- (8) notification that public comments will be accepted during the hearing, and a statement of any time limits to be placed on individuals who offer comments;
- (9) the date, time, and place of the hearing;
- (10) a statement of compliance with 42 U.S.C. 12101 12213 (Americans with Disabilities Act);
- (11) the name and telephone number of a representative of the prospective petitioner to contact for additional information.
- (g) The department shall specify the text of the public service announcement required under (e) of this section, to ensure that the announcement contains the following information:
- (1) the title of the public service announcement;
- (2) the period during which the public service announcement is requested to be broadcast;
- (3) the name of the prospective petitioner;
- (4) a description of the prospective legislative review annexation proposal;
- (5) a statement of the size and general location of the boundaries being considered for annexation:
- (6) information about where and when the prospective petition is available for public review;

- (7) information about where the public may receive, without charge, a summary of the prospective petition;
- (8) a statement concerning who will conduct the hearing;
- (9) the date, time, and place of the hearing;
- (10) the name and telephone number of a representative of the prospective petitioner to contact for additional information.
- (h) When filing a petition with the department under this section, the prospective petitioner shall submit evidence of compliance with the requirements of (e) of this section, a written summary or transcript of the hearing, a copy of any written materials received during the hearing, and an audio recording of the hearing.
- (i) This section does not apply to a petition for annexation that is submitted at the request of the legislature.

History: Eff. 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. AS 29.06.040 AS 44.33.020 AS 44.33.812

# 3 AAC 110.430. Consolidation of petitions

If two or more petitions pending action by the commission affect all or some portion of the same boundaries, the chair of the commission may consolidate the informational session, briefing schedule, department reports, commission hearing, decisional meeting, or other procedure under this chapter for one or more of those petitions. The commission may consider relevant information from concurrent or conflicting petitions during the process of rendering its decision on any one petition.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. <u>AS 29.04.040</u> <u>AS 29.05.060</u> <u>AS 29.06.040</u> <u>AS 29.06.090</u> <u>AS 29.06.450</u> <u>AS 29.06.470</u> <u>AS 44.33.812</u> <u>AS 44.33.814</u> <u>AS 44.33.818</u> <u>AS 44.33.826</u>

# 3 AAC 110.435. Role of department staff

(a) A department employee assigned under AS 44.33.020 (a)(4) as a member of the commission staff serves as an advisor. The advisory staff may not act in an advocacy capacity as a petitioner under 3 AAC 110.410.

- (b) During a proceeding, the advisory staff to the commission may provide technical assistance, information, and forms to petitioners, respondents, and interested persons who have procedural questions regarding local government or boundary issues. If the commissioner, a department subdivision, or a department employee not assigned under AS 44.33.020 (a)(4) to the commission's advisory staff serves in an official capacity as a petitioner in a case before the commission,
- (1) communications with the commission's advisory staff regarding the case are subject to the limitations of this subsection and 3 AAC 110.500; and
- (2) communications with members of the commission are subject to the ex parte limitations of 3 AAC 110.500.
- (c) Nothing in this section limits the role and ability of the commission's advisory staff to ensure that the commission is fully and accurately informed by providing to the commission new or additional information that supplements, questions, or refutes information provided by, or a position taken by, a petitioner, respondent, or other person.

History: Eff. 1/9/2008, Register 185

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 44.33.020</u> <u>AS 44.33.812</u>

# 3 AAC 110.440. Technical review of petition

- (a) The department shall review the petition and supporting materials to determine whether they include a budget sufficient for commission review, a transition plan sufficient for commission review, and other required information. When applicable, the department shall also determine whether the petition contains the legally required number of valid signatures. The department shall complete the technical review of the petition within 45 days after receiving it, except that the chair of the commission, for good cause, may grant the department additional time to complete its technical review.
- (b) The petitioner is primarily responsible for supplying all supplemental information and documents reasonably necessary for the technical review process, including information identifying who is registered to vote, who resides within the boundaries proposed for change, and the number of persons who voted within those boundaries during the last election.
- (c) If it determines that the petition or supporting materials are deficient in form or content, the department shall consult with the chair of the commission. With the concurrence of the chair of the commission, the department shall return the defective petition or supporting materials to the petitioner for correction or completion. With the concurrence of the chair of the commission, the department shall determine whether the deficiencies in the petition are significant enough to require new authorization for

the filing of the corrected or completed petition. The department shall complete the technical review of any corrections or materials needed to complete the petition within 30 days after receiving them, except that the chair of the commission, for good cause, may grant the department additional time to complete its technical review. If the department determines that the petition and brief are in substantial compliance with applicable provisions of AS 29.04, AS 29.05, AS 29.06, and this chapter, the department shall notify the petitioner that the petition and brief have been accepted for filing.

(d) The petitioner may appeal to the commission a determination by the department under (c) of this section that a petition is deficient in form and content or that new authorization will be required for the filing of a corrected or completed petition.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040</u> <u>AS 29.05.070</u> <u>AS 29.06.040</u> <u>AS 29.06.110</u> <u>AS 29.06.480</u> <u>AS 44.33.020</u> <u>AS 44.33.812</u>

# 3 AAC 110.450. Notice of petition

- (a) No later than 45 days after receipt of the department's written notice of acceptance of the petition for filing, the petitioner shall
- (1) publish public notice of the filing of the petition in a display ad format of no less than six inches long by two columns wide at least once each week for three consecutive weeks in one or more newspapers of general circulation designated by the department; if the department determines that a newspaper of general circulation, with publication at least once a week, does not circulate within the boundaries proposed for change, the department shall require the petitioner to provide notice through other means designed to reach the public;
- (2) post public notice of the filing of the petition in
- (A) at least three prominent locations readily accessible to the public and within or near the boundaries proposed for change; and
- (B) other locations designated by the department;
- (3) ensure that notices posted under (2) of this subsection remain posted through the deadline set under 3 AAC <u>110.640</u> by the chair of the commission for the filing of responsive briefs;

- (4) hand-deliver or mail, postage prepaid, public notice of the filing of the petition, correctly addressed to the municipalities having jurisdictional boundaries within 20 miles of the boundaries proposed for change, and to other persons designated by the department; and
- (5) submit a request for a public service announcement of the filing of the petition to at least one radio or television station serving within the boundaries of the proposed change and request that it be announced for the following 14 days.
- (b) The department shall specify the text of the public notices required in (a)(1) (a)(4) of this section, to ensure that the notices contain the following information:
- (1) the title of the notice of the filing of the petition;
- (2) the name of the petitioner and the petitioner's representative designated under 3 AAC 110.410(e);
- (3) a description of the proposed action;
- (4) a statement of the size and general location of the boundaries proposed for change;
- (5) a map of the area or territory proposed for change or information where that map is available for public review;
- (6) a reference to the constitutional, statutory, and regulatory standards applicable to the proposal;
- (7) a reference to the statutes and regulations applicable to procedures for consideration of the petition;
- (8) designation of where and when the petition is available for public review;
- (9) a statement that responsive briefs and comments regarding the petition may be filed with the commission;
- (10) a reference to the regulations applicable to the filing of responsive briefs;
- (11) the deadline for receipt of responsive briefs and comments;
- (12) the mailing address, facsimile number, and electronic mail address for the submission of responsive briefs and comments to the department;

- (13) a telephone number for inquiries to the commission staff.
- (c) The department shall specify the text of the public service announcement required in (a)(5) of this section to ensure that the announcement contains
- (1) the title of the public service announcement;
- (2) the period during which the public service announcement is requested to be broadcast;
- (3) the name of the petitioner;
- (4) a description of the proposed action;
- (5) a statement of the size and general location of the
- (A) area proposed for borough boundary change; or
- (B) territory proposed for city boundary change;
- (6) a statement of where and when the petition is available for public review;
- (7) a statement that responsive briefs and comments regarding the petition may be filed with the commission;
- (8) a statement of the deadline for responsive briefs and comments:
- (9) a statement of where the complete notice of the filing may be reviewed; and
- (10) a telephone number for inquiries to the petitioner.
- (d) For a municipal incorporation, the department shall ensure that, in addition to the information required in (b) of this section, the notice also contains the following information:
- (1) for a petition using the local option method, a statement regarding voter eligibility in the incorporation election;
- (2) for a petition using the legislative review method, a statement regarding the election of initial officials for the municipality.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak. Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040</u> <u>AS 29.05.100</u> <u>AS 29.05.120</u> <u>AS 29.06.040</u> <u>AS 29.06.130</u> AS 29.06.500 AS 44.33.020 AS 44.33.812

# 3 AAC 110.460. Service of petition

- (a) No later than 25 days after receipt of the department's notice of acceptance of the petition for filing, the petitioner shall hand-deliver or mail, postage prepaid, one complete set of petition documents to every municipality within 20 miles of the boundaries proposed for change, and to other interested persons designated by the department. Copies of the petition documents, including maps and other exhibits, must conform to the originals in color, size, and other distinguishing characteristics.
- (b) From the first date of publication of notice of the filing of the petition under 3 AAC 110.450(a) (1), through the last date on which the petition may be subject to action by the commission, including the last date of proceedings of the commission ordered by a court of competent jurisdiction, the petitioner shall make a full set of petition documents, including public notices, responsive briefs, the reply brief, and department reports, available for review by the public at a central and convenient location such as a municipal office or public library. The petition documents must be available for review during normal working hours, and the petitioner shall accommodate specific requests for public review of the petition documents at reasonable times in the evening and on weekend days. All published and posted notices of filing of a petition must identify the specific location of the petition documents, and the hours when the documents can be reviewed.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. AS 29.04.040 AS 29.05.100 AS 29.06.040 AS 29.06.130 AS 29.06.500 AS 44.33.812

#### 3 AAC 110.470. Proof of notice and service

No later than 50 days after receipt of the department's written notice of acceptance of the petition for filing, the petitioner shall deliver to the department five additional complete sets of petition documents and an affidavit that the notice, posting, service, deposit, and publishing requirements of 3 AAC <u>110.450</u> - 3 AAC <u>110.460</u> have been satisfied. Copies of the petition documents, including maps and other exhibits, must conform to the originals in color, size, and other distinguishing characteristics.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162

**Authority:** Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. AS 29.04.040 AS 29.05.100 AS 29.06.040 AS 29.06.130 AS 29.06.500 AS 44.33.812

# 3 AAC 110.475. Summary determination

- (a) If the staff for the commission or a person who may serve as a petitioner under 3 AAC 110.410(a) believes that a petition accepted for filing under 3 AAC 110.440 was prepared using defective procedures, that the petition lacks certain information needed to determine and fully evaluate the merits of the petition, or that a reasonable probability exists that the petition fails to meet the standards applicable to the petition, that person may request a summary determination of the petition in accordance with this section. If the person requesting a summary determination is the commissioner, a department subdivision, or a department employee not assigned under AS 44.33.020 (a)(4) to the commission's advisory staff, and that person is making the request in an official capacity, the limitations of 3 AAC 110.435(b) apply.
- (b) Not later than 21 days after the initial publication of notice of the petition under 3 AAC <u>110.450(a)</u> (1), the person seeking a summary determination must file an original and five copies of a request that the petition be rejected or be returned to the petitioner for substantial correction or modification.
- (c) A request for summary determination must include
- (1) the name of the person requesting a summary determination and that person's representative;
- (2) the physical address of place of residence and mailing address of the representative designated under (1) of this subsection and the telephone number, facsimile number, and electronic mail address, if any, for that representative;
- (3) if a person other than the staff of the commission seeks a summary determination, documentation demonstrating that the person may serve as a petitioner under 3 AAC 110.410(a);
- (4) a description of the proposed summary determination action;
- (5) a statement of reasons for the request for summary determination;
- (6) a supporting brief that provides a detailed explanation of how
- (A) the petition accepted for filing was prepared using defective procedures, or lacks certain information needed to determine and fully evaluate the merits of the petition; or
- (B) a reasonable probability exists that the petition fails to meet constitutional, statutory, and regulatory standards that apply to the petition;

- (7) legal metes and bounds descriptions, maps, and plats needed to assess the proposed determination; and
- (8) an affidavit by the representative designated under (1) of this subsection that
- (A) to the best of the representative's knowledge, information, and belief, formed after reasonable inquiry, the information in the request for summary determination is true and accurate:
- (B) the request for summary determination is not submitted to harass or to cause unnecessary delay or needless expense in the cost of processing the petition; and
- (c) three copies of the request for summary determination have been served on the petitioner by mail, postage prepaid, or hand delivery, or one copy has been delivered by facsimile or electronic mail, unless prohibited under (d) of this section.
- (d) Copies of the request for summary determination, including maps and other exhibits, provided to the department and the petitioner under this section must conform to the original in color, size, and other distinguishing characteristics. If the request, including attachments, contains colored materials or materials larger than 11 inches by 17 inches, the person requesting a summary determination may not serve the request and copies by facsimile or electronic mail. The person shall provide the department with a copy of the request for summary determination in an electronic format, unless the department waives this requirement because the person lacks a readily accessible means or the capability to provide items in an electronic format.
- (e) Within 24 hours after receipt of a request for summary determination, the petitioner shall place a copy of the request with the petition documents available for review under 3 AAC 110.460(b).
- (f) Within two days after the receipt of a request for summary determination, the department shall determine whether the request is complete, filed in a timely manner, groundless, or filed for purposes of delay. The department shall immediately notify the person who filed the request, the petitioner, and the commission of the department's determination. If the department determines that the request for summary determination was incomplete or untimely, the person who filed the request may appeal the department's determination to the commission. If the department determines that a request for summary determination was complete and timely, the provisions of (g) (p) of this section apply. If the department determines that the request was filed for the purpose of delay or is groundless, the provisions of (q) of this section apply.
- (g) If the department determines that a request for summary determination is complete and timely, the deadline for filing responsive briefs and comments under 3 AAC

- <u>110.480</u> is suspended pending a decision by the commission regarding the request for summary determination.
- (h) If it determines that the request is complete and timely, the department shall issue public notice of the request in accordance with 3 AAC <u>110.450(a)</u> (1), except that publication of the notice is required only one time. The department shall issue public notice of the commission hearing under (k) of this section in accordance with 3 AAC <u>110.550</u>, except that the first date of publishing must occur at least 20 days before the date of the hearing. The person who filed the request shall bear the cost of publication of the public notices.
- (i) Within 10 days after receipt of notice under (f) of this section that the request is complete and timely, the petitioner shall file with the department an original and five copies of a brief responding to the request for summary determination. At the same time the petitioner files its responsive brief with the department, the petitioner shall serve a copy of that responsive brief by mail, postage prepaid, or by electronic mail, facsimile transmission, or hand delivery on the person who filed the request, and shall file an affidavit of service to that effect. The petitioner shall provide the department with a copy of the responsive brief in an electronic format, unless the department waives that requirement because the petitioner lacks a readily accessible means or the capability to provide items in an electronic format.
- (j) Within 10 days after receipt of the petitioner's responsive brief under (i) of this section, the department shall provide the commission with a written report of the department's analysis, findings, and recommendation regarding the request for summary determination. The report must include a summary of the request, petitioner's responsive brief, and public comments, if any. A copy of the report must be provided to the person who filed the request and the petitioner at the same time the report is provided to the commission. The chair may, for good cause, grant additional time, not to exceed five days, for the department to file the report required under this subsection.
- (k) After service of the report under (j) of this section, the commission will hold a public hearing on the request for summary determination.
- (I) As part of the hearing conducted under (k) of this section, the commission will include
- (1) a summary of the analysis and recommendation by the department;
- (2) an opening statement by the person who filed the request, not to exceed 10 minutes;
- (3) an opening statement by the petitioner, not to exceed 10 minutes;

- (4) oral argument by the person who filed the request, on the merits of the request;
- (5) oral argument by the petitioner on its opposition to the request;
- (6) a period of public comment by interested persons, not to exceed three minutes for each person;
- (7) a closing statement by the person who filed the request, not to exceed 10 minutes;
- (8) a closing statement by the petitioner, not to exceed 10 minutes; and
- (9) a reply by the person who filed the request, not to exceed five minutes.
- (m) Within three days after the public hearing under (k) of this section, the commission will hold a decisional meeting in accordance with 3 AAC  $\underline{110.570(a)}$ , (b), and (d) (f) and either grant the request for summary determination or deny the request.
- (n) If a request for summary determination seeking rejection of a petition is granted, the petitioner may not submit a substantially similar petition for at least three years after the date of commission's decision on the request. If a request for summary determination seeking substantial correction or modification of a petition is granted, the petitioner may modify the petition and resubmit it as a new petition, with no restriction as to the time of filing.
- (o) If the request for summary determination is denied, the chair of the commission shall determine a new schedule for the filing of responsive briefs and comments on the petition in accordance with 3 AAC 110.640.
- (p) The person who filed the request or the petitioner may seek reconsideration of a commission decision on a request for summary determination under this section.
- (q) The commission will deny, without hearing, a request for summary determination under this section if the commission concludes that the request is filed for purposes of delay or is groundless.

History: Eff. 1/9/2008, Register 185

Authority: Art. X, sec. 1, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. AS 29.04.040 AS 29.05.090 AS 29.06.120 AS 29.06.490 AS 44.33.020 AS 44.33.812 AS 44.33.814 AS 44.33.816 AS 44.33.818 AS 44.33.820

#### 3 AAC 110.480. Responsive briefs and written comments

(a) If an interested person seeks to participate as a respondent to a petition, that person must have the capacity to sue and be sued and must file with the department an

original and five complete copies of a responsive brief containing facts and analyses favorable or adverse to the petition. If the respondent is a group, the group shall designate one person to represent the group. A responsive brief must provide the physical address of the place of residence and mailing address of the respondent or the respondent's representative, and must provide the telephone number, facsimile number, and electronic mail address, if any, for the respondent or respondent's representative. Copies of the responsive briefs, including maps and other exhibits, must conform to the original in color, size, and other distinguishing characteristics. The respondent shall provide the department with a copy of the responsive brief in an electronic format, unless the department waives this requirement because the respondent lacks a readily accessible means or the capability to provide items in an electronic format.

- (b) The responsive brief, and any companion exhibits, must be filed with an affidavit by the respondent that, to the best of the respondent's knowledge, information, and belief, formed after reasonable inquiry, the responsive brief and exhibits are founded in fact and are not submitted to harass or to cause unnecessary delay or needless expense in the cost of processing the petition.
- (c) A responsive brief must be received by the department in a timely manner in accordance with 3 AAC <u>110.640</u>. A responsive brief must be accompanied by an affidavit stating that two copies of the brief have been served on the petitioner by regular mail, postage prepaid, or by electronic mail, facsimile transmission, or hand delivery.
- (d) An interested person may file with the department written comments supporting or opposing the petition. The department shall request that the commentor either serve a copy of the comments on the petitioner and file a statement on or with the comments that service was made on the petitioner or notify the department of an inability to serve comments on the petitioner. If the department receives comments without a statement that they were served on the petitioner, the department shall provide promptly a copy of the written comments to the petitioner by hand-delivery, electronic mail, facsimile, or postage-prepaid mail. If the written comments, including attachments, exceed 20 pages or if they include colored materials or materials larger than 11 inches by 17 inches, the commentor shall provide an additional five complete sets of the written comments to the department. Copies of the written comments, including attachments, must conform to the original in color, size, and other distinguishing characteristics. Written comments must be received by the department in a timely manner in accordance with 3 AAC 110.640.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040</u> <u>AS 29.05.080</u> <u>AS 29.05.100</u> <u>AS 29.06.040</u> <u>AS 29.06.110</u> AS 29.06.130 AS 29.06.480 AS 29.06.500 AS 44.33.020 AS 44.33.812

## 3 AAC 110.490. Reply brief

The petitioner may file an original and five copies of a single reply brief in response to all responsive briefs and written comments filed timely under 3 AAC 110.480. The petitioner shall provide the department with a copy of the reply brief in an electronic format, unless the department waives this requirement because the petitioner lacks a readily accessible means or the capability to provide items in an electronic format. The reply brief must be received by the department in a timely manner in compliance with 3 AAC 110.640. The reply brief must be accompanied by an affidavit stating that the brief has been served on all respondents by regular mail, postage prepaid, or by electronic mail, facsimile transmission, or hand delivery.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.080 AS 29.05.100 AS 29.06.040 AS 29.06.110 AS 29.06.130 AS 29.06.480 AS 29.06.500 AS 44.33.020 AS 44.33.812</u>

# 3 AAC 110.500. Limitations on advocacy

- (a) Unless otherwise ordered by the commission for good cause shown, the commission will not, and the department may not, accept a document, letter, or brief for filing and consideration except in accordance with the procedures, timeframes, hearings, and meetings specified in 3 AAC 110.410 3 AAC 110.700.
- (b) A member of the commission is prohibited from ex parte contact and communication with any person except the staff of the commission, concerning a matter pending before the commission that has been filed as a petition, from the date the petition was first submitted to the department through the last date on which the petition may be subject to action by the commission, including the last date of proceedings of the commission ordered by a court of competent jurisdiction.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.080 AS 29.05.100 AS 29.06.040 AS 29.06.110 AS 29.06.130 AS 29.06.480 AS 29.06.500 AS 44.33.020 AS 44.33.812</u>

#### 3 AAC 110.510. Informational sessions

(a) If the department determines that persons within or near the proposed boundary change have not had adequate opportunity to be informed about the scope, benefits, or detriments of the proposed change, the department shall require the petitioner to conduct informational sessions, and to submit a recording, transcript, or summary of those sessions to the department.

(b) The department may not proceed with the processing of the petition until the petitioner has certified, by affidavit, that the informational session requirements of this section have been met.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.080 AS 29.05.100 AS 29.06.040 AS 29.06.110 AS 29.06.130 AS 29.06.480 AS 29.06.500 AS 44.33.020 AS 44.33.812</u>

## 3 AAC 110.520. Departmental public meetings

- (a) During its investigation and analysis of a petition for incorporation, the department shall convene at least one public meeting within the boundaries proposed for incorporation. During its investigation and analysis of a petition for a change other than incorporation, the department may convene at least one public meeting within or near the boundaries proposed for change.
- (b) Notice of the date, time, and place of the public meeting under (a) of this section must be mailed, postage prepaid, to the petitioner and to each respondent at least 15 days before the public meeting. The department shall publish the notice at least once each week, for two consecutive weeks, immediately preceding the date of the meeting, in a newspaper of general circulation selected by the department to reach the people and entities within or near the boundaries of the proposed change. If the department determines that a newspaper of general circulation, with publication at least once a week, does not circulate within or near the boundaries of the proposed change, the department shall provide notice through other means designed to reach the public. The petitioner shall post notice of the meeting in at least three prominent locations readily accessible to the public within or near the boundaries proposed for change, and at the same location where the petition documents are available for review, for at least 14 days immediately preceding the date of the meeting. On or before the date of the public meeting, the petitioner shall submit to the department an affidavit certifying that the posting requirements of this subsection have been met.
- (c) Staff assigned to the commission shall preside at the public meeting. If the public meeting is held within the time period established under 3 AAC <u>110.640</u> for receiving written comments, the presiding staff person shall accept written materials submitted at the public meeting. However, except in extraordinary circumstances, the petitioner and the respondents may not submit further written materials at the meeting. The public meeting must be recorded and must be summarized in the department's preliminary or final report prepared under 3 AAC <u>110.530</u>.
- (d) The department may postpone the time or relocate the place of the public meeting by conspicuously posting notice of the postponement or relocation at the original time and location of the public meeting. If the meeting is relocated, the new location must be

within the same vicinity, and be rescheduled no more than 72 hours after the originally scheduled time.

(e) The department staff presiding at the public meeting may request that respondents with similar positions coordinate their participation and use a single spokesperson to present their common views or positions. The department staff presiding at the public meeting may request the same coordination by commentors with similar positions.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.080 AS 29.06.040 AS 29.06.090 AS 29.06.110 AS 29.06.480 AS 44.33.020 AS 44.33.812</u>

## 3 AAC 110.530. Departmental reports

- (a) The department shall investigate and analyze a petition filed with the department under this chapter. The department shall prepare a written preliminary and a written final report regarding the petition. Each report must contain the department's findings and recommendations regarding the petition.
- (b) The department shall mail or hand-deliver its preliminary report to the commission, petitioner, and respondents. Within 24 hours after receipt of the preliminary report, the petitioner shall place a copy of the report with the petition documents available for review. The petitioner shall file an affidavit with the department stating the preliminary report has been made available for public review.
- (c) The petitioner, respondents, and other interested persons may submit to the department written comments pertaining directly to the preliminary report. The written comments must be received by the department in a timely manner in accordance with 3 AAC 110.640.
- (d) In its final report, the department shall consider timely submitted written comments addressing the preliminary report. The department shall mail its final report to the commission, petitioner, and respondents.
- (e) If a preliminary or final report of the department contains a recommendation to amend or condition approval of a municipal incorporation petition subject to <u>AS</u> 29.05.060 29.05.110,
- (1) the department shall issue a public notice regarding the recommended amendment or condition;
- (2) the public notice required under (1) of this subsection

- (A) must be issued contemporaneously with that report;
- (B) must be published in conformance with the requirements of 3 AAC <u>110.450(a)</u> (1), except that the notice need be published only one time;
- (C) if the recommendation is part of a preliminary report, must state that comments on the recommendation must be filed on or before the same date as comments on the preliminary report under 3 AAC 110.640(b) (3); that date must be set out in the notice;
- (D) if the recommendation is only part of a final report, must specify a date on or before which written comments on the recommendation may be filed; that date must allow at least 14 days for written comment; and
- (E) must contain a statement that oral comments on a recommendation for amendment or conditional approval may also be provided at the public hearing under 3 AAC 110.560; and
- (3) public comment received in response to a public notice required under (1) of this subsection must be included in the department's final report or summarized at the public hearing, whichever occurs first.
- (f) A report required from the department under this section does not constitute acting in an advocacy capacity as a petitioner under 3 AAC <u>110.410</u>.

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.080 AS 29.06.040 AS 29.06.110 AS 29.06.490 AS 44.33.020 AS 44.33.812</u>

## 3 AAC 110.540. Amendment of petition

- (a) A petitioner may amend the petition at any time before the first mailing, publishing, or posting of notice of the commission's hearing on the petition under 3 AAC 110.550. The original and five copies of the amendment must be filed with the department. The petitioner shall provide the department with a copy of the amended petition and supporting materials in an electronic format, unless the department waives this requirement because the petitioner lacks a readily accessible means or the capability to provide items in an electronic format.
- (b) A petitioner may not amend the petition after the first mailing, publishing, or posting of notice of the commission's hearing on the petition except upon a clear showing to the commission that the public interest of the state and of the persons within or near the boundaries of the proposed change is best served by allowing the proposed amendment.

- (c) If voters initiated the petition, the amended petition must contain the dated signatures of the same number of voters required by <u>AS 29.04</u>, <u>AS 29.05</u>, <u>AS 29.06</u>, or this chapter for the original petition and must include the dated signatures of at least a majority of the same voters who signed the original petition.
- (d) The petitioner shall serve the amended petition on each person designated by the department, and by 3 AAC <u>110.410</u> 3 AAC <u>110.700</u> to receive the original petition, and on the respondents to the original petition. The petitioner shall place a copy of the amended petition with the original petition documents, post the public notice of the amended petition, and submit an affidavit of service and notice in the same manner required for the original petition.
- (e) The chair of the commission shall determine whether the amendment is significant enough to warrant an informational session, opportunity for further responsive briefing, an additional public meeting by the department, or a repeat of any other step or process specified in 3 AAC <u>110.410</u> 3 AAC <u>110.700</u>. Additional informational sessions, meetings, briefings, or other steps or processes will be conducted in accordance with the procedures specified in 3 AAC <u>110.410</u> 3 AAC <u>110.700</u> for the processing of the original petition, except that the chair of the commission may shorten the timing.

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.060 AS 29.06.040 AS 29.06.090 AS 29.06.100 AS 29.06.450 AS 29.06.460 AS 44.33.812 AS 44.33.814</u>

#### 3 AAC 110.545. Withdrawal of petition

- (a) A petitioner may withdraw the petition at any time before the first mailing, publishing, or posting of notice of the commission's hearing on the petition under 3 AAC <u>110.550</u>. The original and five copies of the withdrawal must be filed with the department.
- (b) A petitioner may not withdraw the petition after the first mailing, publishing, or posting of notice of the commission's hearing on the petition except upon a clear showing to the commission that the public interest of the state and the persons within or near the boundaries of the proposed change is best served by allowing the proposed withdrawal.
- (c) If voters initiated the petition, a statement withdrawing a petition must contain the dated signatures of at least 30 percent of the voters residing within the boundaries of the proposed change and must include at least a majority of the same voters who signed the original petition.

- (d) If the petition was submitted by a municipality under AS 29.06.040 (c)(4) at the request of all property owners and voters within the boundaries of the proposed change, the petition may be withdrawn only with the approval of the petitioning municipality.
- (e) If the petitioning municipality declines to withdraw the petition,
- (1) the consent of a property owner or voter to the filing of the petition is binding on any subsequent purchaser of the property if the property is purchased before the boundary change is approved; and
- (2) the municipality and the state are not responsible for ensuring that the subsequent purchaser receives notice of the proposed boundary change before purchase, by recording or otherwise.

History: Eff. 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.060 AS 29.06.040 AS 29.06.090 AS 29.06.100 AS 29.06.450 AS 29.06.460 AS 44.33.812 AS 44.33.814</u>

#### 3 AAC 110.550. Commission public hearing

- (a) The commission will convene one or more public hearings at convenient locations within or near the boundaries of the proposed change as required under AS 29.04, AS 29.05, AS 29.06, AS 44.33.810 44.33.828, and this chapter. If the proposed change is for legislative-review borough incorporation under AS 29.05.115, the commission will convene two or more public hearings within the boundaries of the proposed change.
- (b) Notice of the date, time, place, and subject of the hearing must be
- (1) mailed, postage prepaid, by the department to the petitioner and to each respondent;
- (2) published by the department at least three times, with the first date of publishing occurring at least 30 days before the date of the hearing, in a display ad format no less than three inches long by two columns wide, in one or more newspapers of general circulation selected by the department to reach the people within the boundaries of the proposed change; if the department determines that a newspaper of general circulation, with publication at least once a week, does not circulate within the boundaries of the proposed change, the department shall provide notice through other means designed to reach the public; and

- (3) posted by the petitioner in at least three prominent locations readily accessible to the public within the boundaries of the proposed change in which the hearing is to be held, and where the petition documents are available for review, for at least 21 days preceding the date of the hearing.
- (c) The department shall submit a request for a public service announcement of the hearing notice required under this section to at least one radio or television station serving within the boundaries of the proposed change and request that it be announced during the 21 days preceding the date of the hearing.
- (d) The commission may postpone the time or relocate the place of the hearing by conspicuously posting notice of the postponement or relocation at the original time and location of the public hearing. If the hearing is relocated, the new location will be within the same vicinity and will be rescheduled no more than 72 hours after the originally scheduled time.
- (e) At least 14 days before the hearing, the petitioner and each respondent shall submit to the department a list of witnesses that the respective party intends to call to provide sworn testimony. The list must include the name and qualifications of each witness, the subjects about which each witness will testify, and the estimated time anticipated for the testimony of each witness. On the same date that the petitioner submits its witness list to the department, the petitioner shall provide a copy of its witness list to each respondent by hand-delivery, facsimile, electronic mail, or postage-prepaid mail. On the same date that a respondent submits its witness list to the department, the respondent shall provide a copy of its witness list to the petitioner and to all other respondents by hand-delivery, facsimile, electronic mail, or postage-prepaid mail.
- (f) In conjunction with a public hearing under this section, the commission may tour the area or territory. The purpose of a tour is to enable the commission to gain first-hand perceptions regarding the characteristics of the area or territory. If a tour is conducted,
- (1) the tour will be recorded; and
- (2) conversations relating to the pending petition will be limited to factual questions by commission members to the department staff and concise factual answers by the department staff.

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040</u> <u>AS 29.05.090</u> <u>AS 29.06.040</u> <u>AS 29.06.120</u> <u>AS 29.06.490</u> <u>AS 44.33.812</u> <u>AS 44.33.818</u> <u>AS 44.33.826</u>

3 AAC 110.560. Commission hearing procedures

- (a) The chair of the commission shall preside at the hearing, and shall regulate the time and the content of statements, testimony, and comments to exclude irrelevant or repetitious statements, testimony, and comments. The department shall record the hearing and preserve the recording. Two members of the commission constitute a quorum for purposes of a hearing under this section.
- (b) As part of the hearing, the commission may include
- (1) a report with recommendations from the department;
- (2) an opening statement by the petitioner, not to exceed 10 minutes;
- (3) an opening statement by each respondent, not to exceed 10 minutes;
- (4) sworn testimony of witnesses
- (A) with expertise in matters relevant to the proposed change; and
- (B) called by the petitioner;
- (5) sworn testimony of witnesses
- (A) with expertise in matters relevant to the proposed change; and
- (B) called by each respondent;
- (6) sworn responsive testimony of witnesses
- (A) with expertise in matters relevant to the proposed change; and
- (B) called by the petitioner;
- (7) a period of public comment by interested persons, not to exceed three minutes for each person;
- (8) a closing statement by the petitioner, not to exceed 10 minutes;
- (9) a closing statement by each respondent, not to exceed 10 minutes;
- (10) a reply by the petitioner, not to exceed five minutes; and
- (11) points of information or clarification by the department.

- (c) If more than one respondent participates, the chair of the commission, at least 14 days before the hearing, may establish for each respondent time limits on the opening and closing statements that are lower than those time limits set out in (b) of this section.
- (d) A member of the commission may question a person appearing for public comment or as a sworn witness. The commission may call additional witnesses.
- (e) A brief, document, or other evidence may not be introduced at the time of the public hearing unless the commission determines that good cause exists for that evidence not being presented in a timely manner for written response by the petitioner or respondents or for consideration in the reports of the department under 3 AAC 110.530.
- (f) The commission may amend the order of proceedings and change allotted times for presentations to promote efficiency if the amendment does not detract from the commission's ability to make an informed decision.
- (g) If the petition at hearing is for municipal incorporation subject to <u>AS 29.05.060</u> 29.05.110 and the department has recommended an amendment to or conditional approval of the petition, during the hearing the commission will invite specific comments on that recommendation.

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.090 AS 29.06.040 AS 29.06.120 AS 29.06.490 AS 44.33.020 AS 44.33.812 AS 44.33.814 AS 44.33.816 AS 44.33.820 AS 44.33.826</u>

#### 3 AAC 110.570. Decisional meeting

- (a) Within 90 days after the last commission hearing on a proposed change, the commission will convene a decisional meeting to examine the written briefs, exhibits, comments, and testimony and to reach a decision regarding the proposed change. During the decisional meeting,
- (1) the commission will not receive new evidence, testimony, or briefing:
- (2) the chair of the commission or a commission member may ask the department or a person for a point of information or clarification; and
- (3) the department may raise a point of information or clarification.
- (b) Repealed 1/9/2008.

- (c) If the commission determines that a proposed change must be altered or a condition must be satisfied to meet the standards contained in the Constitution of the State of Alaska, AS 29.04, AS 29.05, AS 29.06, or this chapter, and be in the best interests of the state, the commission may alter or attach a condition to the proposed change and accept the petition as altered or conditioned. A motion to alter, impose conditions upon, or approve a proposed change requires at least three affirmative votes by commission members to constitute approval. If the proposed change is a
- (1) municipal annexation, detachment, deunification, dissolution, merger, or consolidation, a city reclassification, or a legislative-review borough incorporation under <u>AS 29.05.115</u>, and if the commission determines that the proposed change must be altered or a condition must be satisfied before the proposed change can take effect, the commission will include that condition or alteration in its decision; or
- (2) municipal incorporation subject to <u>AS 29.05.060</u> 29.05.110, and if the commission determines that an amendment to the petition or the placement of a condition on incorporation may be warranted, the department shall provide public notice and an opportunity for public comment on the alteration or condition before the commission amends the petition or imposes a condition upon incorporation; if the department recommended the proposed change or condition and the public had an opportunity to comment on the proposed change or condition at a commission hearing, an additional notice or comment period is not required.
- (d) If the commission determines that a proposed change fails to meet the standards contained in the Constitution of the State of Alaska, <u>AS 29.04</u>, <u>AS 29.05</u>, <u>AS 29.06</u>, or this chapter, or is not in the best interests of the state, the commission will reject the proposed change. If a motion to grant a proposed change receives fewer than three affirmative votes by commission members, the proposed change is rejected.
- (e) The commission will keep written minutes of a decisional meeting. Each vote taken by the commission will be entered in the minutes. The approved minutes are a public record.
- (f) Within 30 days after the date of its decision, the commission will issue a written decision explaining all major considerations leading to the decision. A copy of the statement will be mailed to the petitioner, respondents, and other interested persons requesting a copy. The department shall execute and file an affidavit of mailing as a part of the public record of the proceedings.
- (g) Unless reconsideration is requested timely under 3 AAC <u>110.580</u> or the commission, on its own motion, orders reconsideration under 3 AAC <u>110.580</u>, a decision by the commission is final on the day that the written statement of decision is mailed, postage prepaid, to the petitioners and the respondents.

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.100 AS 29.06.040 AS 29.06.130 AS 29.06.500 AS 44.33.020 AS 44.33.812 AS 44.33.814 AS 44.33.816 AS 44.33.818 AS 44.33.820 AS 44.33.822 AS 44.33.826</u>

## 3 AAC 110.580. Reconsideration

- (a) Within 18 days after a written statement of decision is mailed under 3 AAC <a href="https://doi.org/10.570(f)">110.570(f)</a>, a person may file an original and five copies of a request for reconsideration of all or part of that decision, describing in detail the facts and analyses that support the request for reconsideration.
- (b) Within 30 days after a written statement of decision is mailed under 3 AAC <a href="https://doi.org/10.570(f)">110.570(f)</a>, the commission may, on its own motion, order reconsideration of all or part of that decision.
- (c) A person filing a request for reconsideration shall provide the department with a copy of the request for reconsideration and supporting materials in an electronic format, unless the department waives this requirement because the person requesting reconsideration lacks a readily accessible means or the capability to provide items in an electronic format. A request for reconsideration must be filed with an affidavit of service of the request for reconsideration on the petitioner and each respondent by regular mail, postage prepaid, or by hand-delivery. A request for reconsideration must also be filed with an affidavit that, to the best of the affiant's knowledge, information, and belief, formed after reasonable inquiry, the request for reconsideration is founded in fact and is not submitted to harass or to cause unnecessary delay or needless expense in the cost of processing the petition.
- (d) If the person filing the request for reconsideration is a group, the request must identify a representative of the group. Each request for reconsideration must provide the physical residence address and mailing address of the person filing the request for reconsideration and the telephone number, facsimile number, and electronic mail address, if any, for the person or representative of the group.
- (e) The commission will grant a request for reconsideration or, on its own motion, order reconsideration of a decision only if the commission determines that
- (1) a substantial procedural error occurred in the original proceeding;
- (2) the original vote was based on fraud or misrepresentation;
- (3) the commission failed to address a material issue of fact or a controlling principle of law; or

- (4) new evidence not available at the time of the hearing relating to a matter of significant public policy has become known.
- (f) If the commission does not act on a request for reconsideration within 30 days after the decision was mailed under 3 AAC 110.570(f), the request is automatically denied. If it orders reconsideration or grants a request for reconsideration within 30 days after the decision was mailed under 3 AAC 110.570(f), the commission will allow a petitioner or respondent 10 days after the date reconsideration is ordered or the request for reconsideration is granted to file an original and five copies of a responsive brief describing in detail the facts and analyses that support or oppose the decision being reconsidered. The petitioner or respondent shall provide the department with a copy of the responsive brief in an electronic format, unless the department waives this requirement because the petitioner or respondent lacks a readily accessible means or the capability to provide items in an electronic format.
- (g) Within 90 days after the department receives timely filed responsive briefs, the commission, by means of the decisional meeting procedure set out in 3 AAC 110.570(a) (f), will issue a decision on reconsideration. A decision on reconsideration by the commission is final on the day that the written statement of decision is mailed, postage prepaid, to the petitioner and the respondents.

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.100 AS 29.06.040 AS 29.06.130 AS 29.06.500 AS 44.33.020 AS 44.33.812 AS 44.33.814 AS 44.33.820 AS 44.33.822 AS 44.33.826</u>

#### 3 AAC 110.590. Modified procedures for certain local action annexations

- (a) Except as otherwise provided in this section, if a petition is filed with the department under a local action method provided for in AS 29.06.040 (c)(3) or (4) for annexation of adjacent municipally owned property or adjacent property by unanimous consent of voters and property owners, only the following procedures specified in 3 AAC 110.400 3 AAC 110.700 are required:
- (1) filing a petition under 3 AAC 110.420;
- (2) technical review of the petition under 3 AAC <u>110.440</u>;
- (3) notice and service of the petition under 3 AAC <u>110.450</u> 3 AAC <u>110.470</u>, unless the petitioning municipality, at least 30 days before passage of its authorizing ordinance under <u>AS 29.06.040</u> (c)(3) or (4) by the council or assembly, publishes notice of the annexation proposal in a newspaper of general circulation in the area or territory proposed for annexation and provides the notice to each owner of property abutting the boundaries proposed for annexation; the pre-ordinance notice

- (A) must be published at least once in the format prescribed by 3 AAC 110.450(a) (1);
- (B) must be served on each municipality within 20 miles of the boundaries of the area or territory proposed for change;
- (C) must be posted in accordance with 3 AAC <u>110.450(a)</u> (2) except that the posting must occur on or before the date that the notice is published under (A) of this paragraph;
- (D) must remain posted in accordance with 3 AAC <u>110.450(a)</u> (3) except that the posting deadline is determined under (4)(B) of this subsection; and
- (E) may be broadcast as a public service announcement under 3 AAC 110.450(a) (5);
- (4) responsive briefs and comments under 3 AAC <u>110.480</u>, except that the time allowed under 3 AAC <u>110.640</u> for the filing of responsive briefs and comments is limited to 14 days from
- (A) the date of first publication of the notice of filing of the petition; or
- (B) passage of the ordinance required by <u>AS 29.06.040</u> (c)(3) or (4) by the council or assembly, if the petitioning municipality published notice at least 30 days before passage of the ordinance;
- (5) a reply brief under 3 AAC <u>110.490</u>, except that the time allowed under 3 AAC <u>110.640</u> for the filing of a reply brief is limited to seven days from the date that the petitioner received the responsive brief;
- (6) a departmental report under 3 AAC <u>110.530</u>, except that the department shall issue only one report concerning the local action annexation proposal at least 10 days before the public hearing under 3 AAC <u>110.550</u>; interested persons may submit written comments to the department on its report no later than three days before the public hearing;
- (7) the commission's public hearing under 3 AAC <u>110.550</u>, except that the commission may conduct the hearing by teleconference;
- (8) the decisional meeting under 3 AAC <u>110.570</u>, except that the commission will convene a decisional meeting to examine the written briefs, exhibits, comments, and testimony and to reach a decision regarding the proposed change within 10 days after the last commission hearing on the petition;

- (9) reconsideration under 3 AAC <u>110.580</u>, except that a request for reconsideration must be filed within 10 days after a written statement of decision is mailed under 3 AAC <u>110.570(f)</u>;
- (10) the amendment and withdrawal provisions of 3 AAC 110.540 and 3 AAC 110.545.
- (b) The commission may expand local action procedures for annexations under (a) of this section, so that those procedures include other requirements of 3 AAC <u>110.400</u> 3 AAC <u>110.700</u>, such as informational sessions, and public meetings and hearings, if the commission determines that the best interests of the state will be enhanced.
- (c) The commission may further relax or suspend local action procedures for annexation under (a) of this section as provided under 3 AAC 110.660.
- (d) Repealed 5/19/2002.
- (e) If the commission determines that the balanced best interests of the locality and the state are enhanced by statewide participation, the commission may convert a local action petition for an annexation described in (a) of this section to a legislative review petition.
- (f) If the petitioning municipality publishes a pre-ordinance notice as provided under (a)(3) of this section, the municipality shall file with the department a copy of
- (1) the publisher's affidavit of publication of the notice:
- (2) written comments submitted to the municipality regarding the annexation proposal; and
- (3) the minutes of all council or assembly meetings at which the proposal was addressed by the petitioning municipality.

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.06.040 AS 29.06.090 AS 29.06.450 AS 44.33.020 AS 44.33.812 AS 44.33.814 AS 44.33.818 AS 44.33.826</u>

# 3 AAC 110.600. Local action/local option elections

(a) In accordance with <u>AS 29.04</u>, <u>AS 29.05</u>, and <u>AS 29.06</u>, the commission will notify the director of elections of the commission's acceptance of a local action or local option petition proposing city reclassification under <u>AS 29.04</u>, municipal incorporation under

art. X, sec. 12 of the Constitution of the State of Alaska or AS 29.05, and municipal dissolution, deunification, merger, or consolidation under AS 29.06.

- (b) If <u>AS 29.06.040</u> requires a municipal election for a proposed annexation or detachment, the commission will notify the clerk of the municipality proposed for change of the commission's acceptance of a local action petition. The election must be administered by the municipality proposed for change at the municipality's own cost and in the manner prescribed by its municipal election ordinances, except that the commission may specify the wording of the ballot measure and broaden the election notice requirements.
- (c) If a petition for detachment under <u>AS 29.06.040</u> and incorporation under <u>AS 29.05</u> requires a municipal election, the commission will notify the director of elections of the commission's acceptance of a local option petition and that the election must be a combined one for detachment and incorporation.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. AS 29.04.040 AS 29.05.110 AS 29.05.120 AS 29.06.040 AS 29.06.510 AS 44.33.812

#### 3 AAC 110.610. Legislative review

- (a) The commission may determine during the course of proceedings that a legislative review petition must be amended and considered as a local action or local option petition if the commission determines that the balanced best interests of the locality and the state are enhanced by local participation.
- (b) If a decision of the commission requires legislative review, the commission will present a recommendation for the decision to the legislature during the first 10 days of a regular session in accordance with art. X, sec. 12, Constitution of the State of Alaska.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 12, Ak Const. <u>AS 29.06.040</u> <u>AS 29.06.090</u> <u>AS 29.06.450</u> <u>AS 44.33.812</u> <u>AS 44.33.822</u> <u>AS 44.33.826</u> <u>AS 44.33.828</u>

#### 3 AAC 110.620. Judicial review

A final decision of the commission made under the Constitution of the State of Alaska, <u>AS 29.04</u>, <u>AS 29.05</u>, <u>AS 29.06</u>, or this chapter may be appealed to the superior court in accordance with the Administrative Procedure Act (AS 44.62).

**Authority:** Art. X, sec. 12, Ak Const. <u>AS 29.04.040</u> <u>AS 29.05.100</u> <u>AS 29.06.040</u> <u>AS 29.06.500</u> AS 44.33.812

## 3 AAC 110.630. Effective date and certification

- (a) Except as provided in (b) or (c) of this section, a final decision of the commission is effective when
- (1) notification of compliance with 42 U.S.C. 1973c (Voting Rights Act of 1965) is received from the United States Department of Justice;
- (2) certification of the legally required voter approval of the commission's final decision is received from the director of elections or the appropriate municipal official; and
- (3) 45 days have passed since presentation of the commission's final decision on a legislative review petition was made to the legislature and the legislature has not disapproved the decision.
- (b) The effective date of a merger or consolidation is the date set by the director of elections for the election of officials of the remaining or new municipality, if the provisions of (a) of this section have also been satisfied.
- (c) The commission may defer the effective date of a city reclassification under AS 29.04, municipal incorporation under the Constitution of the State of Alaska or AS 29.05, or municipal annexation, detachment, deunification, merger, consolidation, or dissolution under AS 29.06 for a period of no more than two years.
- (d) When the requirements in (a) of this section have been met, the department shall issue a certificate describing the effective change. The department shall hand-deliver or mail, postage prepaid, a copy of the certificate to the municipality that has been changed and shall file a copy of the certificate in each recording district of all territory within the municipality that has been changed.
- (e) If a minor error is found in the certificate issued under (d) of this section, the person discovering the error shall notify the department and the commission. If the commission determines that the error resulted from an oversight during the petition proceeding or the issuance of the certificate, the commission will direct the department to issue a corrected certificate. The commission will not consider a request for a corrected certificate to include area or territory not proposed in the boundary change proceeding for which the certificate was issued.

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.120 AS 29.06.040 AS 29.06.140 AS 29.06.510 AS 44.33.020 AS 44.33.812 AS 44.33.828</u>

## 3 AAC 110.640. Scheduling

- (a) The chair of the commission shall set or amend the schedule for action on a petition.
- (b) In a schedule under (a) of this section, and except as provided by 3 AAC <u>110.590</u> for certain local action annexations, the chair of the commission shall allow at least
- (1) 49 days after the date of initial publication or posting of notice of the filing of a petition, whichever occurs first, for receipt by the department of a responsive brief or written comments concerning the petition;
- (2) 14 days after the date of service of a responsive brief on the petitioner for the receipt by the department of a reply brief from the petitioner. Contemporaneously with notice to the petitioner of the date for filing its reply brief, the department shall provide notice to respondents and commentors of that date;
- (3) 28 days after the date of mailing of a departmental preliminary report for receipt of written summary comments to the department; and
- (4) 21 days between the date of mailing of a final report and the commission hearing on the petition.
- (c) As provided under 3 AAC <u>110.430</u>, the commission may postpone proceedings on a petition that has been accepted for filing to allow concurrent consideration and action on another petition that pertains to some or all the same boundaries and that has either been accepted for filing or is anticipated to be filed. The commission may postpone the proceedings for an anticipated competing petition only if the anticipated competing petition is received by the department no later than 90 days after the date of the first publication of notice of the earlier petition under 3 AAC <u>110.450</u>.
- (d) The chair of the commission will adjust the schedule in (b)(1) (4) of this section to accommodate the procedures under 3 AAC  $\underline{110.475}$  if a request for summary determination is filed on the petition.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 29.04.040 AS 29.05.070 AS 29.05.080 AS 29.05.090 AS 29.06.040 AS 29.06.090 AS 29.06.110 AS 29.06.120 AS 29.06.480 AS 29.06.490 AS 44.33.020 AS 44.33.812 AS 44.33.814 AS 44.33.826</u>

## 3 AAC 110.650. Resubmittals and reversals

Except upon a special showing to the commission of significantly changed conditions, a petition will not be accepted for filing that

- (1) is substantially similar to a petition denied by the commission during the immediately preceding three years; for purposes of this paragraph, the three-year period will be measured from the date that the denial is final at the agency level, including reconsideration, or through the end of the appeal process, whichever is later;
- (2) is substantially similar to a petition rejected by the legislature or rejected by the voters during the immediately preceding two years; or
- (3) requests a substantial reversal of a decision of the commission that first became effective during the immediately preceding two years.

History: Eff. 7/31/92, Register 123; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. AS 29.04.040 AS 29.05.100 AS 29.06.040 AS 29.06.090 AS 29.06.130 AS 29.06.450 AS 29.06.500 AS 44.33.812

# <u>3 AAC 110.660. Purpose of procedural regulations; relaxation or suspension of procedural regulations</u>

The purpose of the procedural requirements set out in 3 AAC <u>110.400</u> - 3 AAC <u>110.700</u> is to facilitate the business of the commission, and will be construed to secure the reasonable, speedy, and inexpensive determination of every action and proceeding. Unless a requirement is strictly provided for in the Constitution of the State of Alaska, <u>AS 29</u>, or <u>AS 44.33.810</u> - 44.33.849, the commission, by a vote of at least three members, may relax or suspend a procedural regulation if the commission determines that a strict adherence to the regulation would work injustice, would result in a substantially uninformed decision, or would not serve relevant constitutional principles and the broad public interest.

History: Eff. 7/31/92, Register 123; am 5/19/2002, Register 162; am 1/9/2008, Register 185

**Authority:** Art. X, sec. 1, Ak Const. Art. X, sec. 3, Ak Const. Art. X, sec. 7, Ak Const. Art. X, sec. 12, Ak Const. AS 29.04.040 AS 29.05.100 AS 29.06.040 AS 29.06.090 AS 29.06.450 AS 44.33.812

## 3 AAC 110.680. Meetings

- (a) When providing public notice of a commission meeting, the department shall include the date, time, and place of the meeting, the general topics to be discussed or considered, and the originating site of any teleconferencing facilities that will be used. If at least three days remain before the date of the meeting, and unless AS 44.33.818 or an applicable provision of this chapter sets out a more stringent publication requirement, the department shall publish notice of that meeting in a newspaper of general circulation in the vicinity where the meeting will be held or teleconferenced. If time is insufficient to arrange for publication of a complete notice as described in this subsection, the department shall publish an abbreviated notice that includes the date, time, and place of the meeting, the originating site of any teleconferencing facilities that will be used, and a statement that additional information regarding the meeting is available at the commission's offices and on the commission's website. Before the date and time of the meeting, the department shall
- (1) post the complete notice of the meeting on the commission's website;
- (2) transmit the complete notice to subscribers to the commission's electronic notice list;
- (3) notify by telephone, electronic mail, or facsimile transmission those persons whom the chair of the commission determines to warrant notice by those means;
- (4) mail or otherwise provide the complete notice to each person who requests it; and
- (5) mail or otherwise distribute notice as directed by the commission chair.
- (b) The department shall prepare an agenda of each public meeting as directed by the chair, make the agenda available for public inspection and copying at the commission's offices, post it on the commission's website, serve it by electronic mail on subscribers to the commission's electronic notice service, and mail or otherwise provide it to each person who requests a copy. The department shall also make copies of the agenda available at the public meeting.
- (c) To the extent time permits, a public meeting agenda must be available at least 48 hours before the scheduled time of the meeting. If time does not allow that advanced publication, the agenda must be posted and distributed as soon as it is available but no later than the date of the meeting.
- (d) If the commission allows public testimony regarding a matter on the agenda or pending before the commission, that testimony is limited to three minutes for each person except that the chair may allow an extended period for good cause shown.

History: Eff. 1/9/2008, Register 185

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 44.33.020</u> <u>AS 44.33.812</u> AS 44.33.814

**Editor's note:** The office and mail address of the commission and its staff within the Department of Commerce, Community, and Economic Development is 550 West Seventh Avenue, Suite 1770, Anchorage, Alaska 99501. The commission's electronic mail address is lbc@alaska.gov. The commission's website is found at http://www.commerce.state.ak.us/dca/lbc/lbc.htm. The commission's facsimile number is (907) 269-4539.

## 3 AAC 110.690. Teleconference policy and procedures

- (a) If the commission conducts a hearing by teleconference on a proposed boundary change, at least two members shall attend the hearing in person within or near the boundaries affected by the proposed change under consideration at the hearing. The department shall reflect in the minutes of a meeting or hearing whether a commission member attended in person or by teleconference.
- (b) If the commission conducts a hearing or meeting by teleconference, and teleconference access is arranged by the department, the petitioner, respondent, witness, or other person convenienced by teleconference attendance shall bear the cost of that person's teleconferencing, except as provided by law, or unless otherwise ordered by the commission.
- (c) If the commission conducts a meeting with a public teleconference site, agency materials that will be considered at a public meeting will be made available at the teleconference site or on the commission's website.

History: Eff. 1/9/2008, Register 185

**Authority:** Art. X, sec. 12, Ak Const. Art. X, sec. 14, Ak Const. <u>AS 44.33.020</u> <u>AS 44.33.810</u> <u>AS 44.33.812</u> <u>AS 44.33.814</u> <u>AS 44.33.816</u> <u>AS 44.33.826</u>

#### 3 AAC 110.700. Filing with the commission

- (a) The filing of documents with the commission as allowed or required by this chapter or by order of the commission is made by filing them with the commission staff at the commission's offices. Unless otherwise required by this chapter or ordered by the commission, documents may be filed by hand delivery, United States mail, electronic mail, or facsimile transmission. The commission requests that a document filed by electronic mail be in searchable portable document format (.pdf).
- (b) A document filed with the commission is complete upon receipt of the entire document by the commission. Filing that occurs in whole or in part after 4:30 p.m. is considered to have occurred at the opening of business on the next day that is not a Saturday, Sunday, or state holiday.

- (c) For a document to be considered timely filed under requirements of this chapter or an order of the commission, the document must be filed with the commission on or before the deadline set under (b) and (e) of this section. For good cause shown, the commission chair will consider a request to accept a late-filed document.
- (d) The original of a document served by electronic mail or facsimile transmission must be submitted to the commission within 10 days after the submission of the filing by either electronic method.
- (e) The time in which to perform an act required or permitted under this chapter is computed by excluding the first day and including the last, unless the last day is a Saturday, Sunday, or state holiday. If the last day is a Saturday, Sunday, or state holiday, that day is excluded and the act shall be performed on or before the end of the next state business day.

History: Eff. 1/9/2008, Register 185

**Authority:** <u>AS 44.33.812</u>

## 3 AAC 110.990. Definitions

Statute text

Unless the context indicates otherwise, in this chapter

- (1) "borough" means a general law borough, a home rule borough, or a unified municipality;
- (2) repealed 1/9/2008;
- (3) "commission" means the Local Boundary Commission;
- (4) "commissioner" means the commissioner of commerce, community, and economic development;

- (5) a "community" means a social unit comprised of 25 or more permanent residents as determined under 3 AAC 110.920;
- (6) "contiguous" means, with respect to area, territory, or property, adjacent, adjoining, and touching; contiguous area, territory, or property includes area, territory, or property separated by public rights-of-way;
- (7) "department" means the Department of Commerce, Community, and Economic Development;
- (8) "mandatory power" means an authorized act, duty, or obligation required by law to be performed or fulfilled by a municipality in the course of its fiduciary obligations to citizens and taxpayers; "mandatory power" includes one or more of the following:
- (A) assessing the value of taxable property, and levying and collecting taxes;
- (B) providing education, public safety, public health, and sanitation services;
- (C) planning, platting and land use regulation;
- (D) conducting elections; and
- (E) other acts, duties, or obligations required by law to meet the local governmental needs within the boundaries proposed for change;
- (9) "model borough boundaries" means those boundaries set out in the commission's publications
- (A) Model Borough Boundaries, revised as of June 1997 and adopted by reference; and
- (B) Unorganized Areas of Alaska That Meet Borough Incorporation Standards: A Report by the Alaska Local Boundary Commission to the Alaska Legislature Pursuant to Chapter 53, Session Laws of Alaska 2002, dated February 2003 and adopted by reference:

- (10) "permanent resident" means a person who has maintained a principal domicile within the boundaries proposed for change under this chapter for at least 30 days immediately preceding the date of acceptance of a petition by the department and who shows no intent to remove that principal domicile at any time during the pendency of a petition before the commission;
- (11) "political subdivision" means a borough or city organized and operated under state law;
- (12) "property owner" means a legal person holding a vested fee simple interest in the surface estate of any real property including submerged lands;
- (13) "regional educational attendance area" means an educational service area established in the unorganized borough under AS 14.08.031 by the department; "regional educational attendance area" includes the territory within the boundaries of a
- (A) home rule city in that area;
- (B) first class city in that area; or
- (C) federal transfer regional educational attendance area formed under ch. 66, SLA 1985 in that area;
- (14) "witnesses with expertise in matters relevant to the proposed change" means individuals who are
- (A) specialists in relevant subjects, including municipal finance, municipal law, public safety, public works, public utilities, and municipal planning; or
- (B) long-standing members of the community or region that are directly familiar with social, cultural, economic, geographic, and other characteristics of the community or region;

- (15) "area" means the geographical lands and submerged lands forming the boundaries described in a petition regarding a borough government or forming the boundaries of an incorporated borough;
- (16) "boundary change" means the type of action the commission takes to create, alter, or abolish a municipal government; "boundary change" includes
- (A) annexation, consolidation, detachment, dissolution, incorporation, and merger of boroughs or cities; and
- (B) reclassification of cities if jurisdictional boundaries for public school districts are affected:
- (17) "city" has the meaning given in AS 29.71.800;
- (18) "consolidation" has the meaning given in AS 29.71.800;
- (19) "debt" means an obligation or alleged obligation of a municipality to pay money; "debt" includes funded debt and floating debt;
- (20) "deunification" and "deunify" mean to change a unified municipality into a non-unified home rule borough;
- (21) "floating debt" means a municipal obligation that is payable on demand;
- (22) "funded debt" means a municipal obligation
- (A) evidenced by bonds payable at a time beyond the current fiscal year of their issue, with periodic payment of interest; and
- (B) for which provision is made for payment by future taxation;
- (23) "merger" has the meaning given in AS 29.71.800;

- (24) "numerical identifier" has the meaning given in AS 15.60.010;
- (25) "non-unified home rule borough" means a home rule borough in which a city government does or could exist;
- (26) "person" has the meaning given in AS 01.10.060;
- (27) "public right-of-way" means a public easement or public property that is or may be used for a street, an alley, or another public purpose;
- (28) "region"
- (A) means a relatively large area of geographical lands and submerged lands that may include multiple communities, all or most of which share similar attributes with respect to population, natural geography, social, cultural, and economic activities, communications, transportation, and other factors;
- (B) includes a regional educational attendance area, a state house election district, an organized borough, and a model borough described in a publication adopted by reference in (9) of this section;
- (29) "regional" means having the characteristics of a region;
- (30) "remnant city" means the portion of a city that will remain if a petition to detach territory from that city is approved under AS 29.06.040;
- (31) "remnant borough" means the portion of a borough that will remain if a petition to detach area from that borough is approved under AS 29.06.040;
- (32) "territory" means the geographical lands and submerged lands forming the boundaries in a petition regarding a city government or forming the boundaries of an incorporated city;
- (33) "unified municipality" has the meaning given in AS 29.71.800;

(34) "unorganized borough" has the meaning given in AS 29.03.010.